

Docket No. 266614US0X P

10/528475  
JC06 Re PCT/PTO 21 MAR 2005  
A2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Arno BOEHM, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP03/09946

GAU:

FILED: Herewith

EXAMINER:

FOR: 9-CYANO-SUBSTITUTED PERYLENE-3,4-DICARBOXYLIC AND MONOIMIDES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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10/528475

JCO6 Rec'd CT/PTO SHEET 1 OF 1 MAR 2009

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO.  266614US0X PCT		SERIAL NO. New U.S. PCT Application Based on PCT/EP03/09946	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Arno BOEHM, et al.			
				FILING DATE Herewith		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,405,962	04/11/95	MUELLEN, Klaus et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AL	100 38 672	05/29/02	DE(with English abstract)			NO
	AM	0 657 436	06/14/95	EP(Equivalent of US 5,650,513)			NO
	AN	0 854 144	07/22/98	EP(Equivalent of US 5,900,490 and 6,093,834)			NO
	AO	98/31678	07/23/98	WO(Equivalent of US 5,981,773)			NO
	AP	96/22331	07/25/96	WO(Equivalent of US 5,808,073)			NO
	AQ	96/22332	07/25/96	WO(Equivalent of US 5,871,143)			NO
	AR	99/40123	08/12/99	WO(with English abstract and equivalent of US 6,727,318)			NO
	AS	01/16109	03/08/01	WO(with English abstract and equivalent of US 6,486,319)			NO
	AT						
	AU						
	AV						
<b>OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)</b>							
	AW	NAGAO Y ET AL: "SYNTHESIS AND PROPERTIES OF N-ALKYLBROMOPERYLENE-3,4-DICARBOXIMIDES" DYES AND PIGMENTS, ELSEVIER APPLIED SCIENCE PUBLISHERS. Vol. 16, No. 1, PAGES 19-25, 1991.					
	AX	KYOKAISHI, Shikizai: "Synthesis and reactions of perylenecarboxylic derivatives V. Syntheses of perylenedicarbonimide derivatives." Vol. 49, No. 1, pages 29 to 34, 1976. XP 000208007 (English abstract only)					
	AY	NAGAO Y ET AL: "Synthesis and Reactions of Perylenecarboxylic Acid Derivatives. X. Synthesis of N-Alkyl-3,4-perylenedicarboximide. Bull.Chem.Soc.Jpn. Vol. 54, pages 1575-1576, 1981.					
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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Docket No.: 266614US0X PCT

#### STATEMENT OF RELEVANCY

- 1) References AL and AW have been cited in the International Search Report. Copies of these references are being submitted herewith only when not automatically provided by the International Searching Authority.
- 2) References \_\_\_\_\_ have been cited in the corresponding \_\_\_\_\_ Search Report. A copy of these references is being submitted herewith.
- 3) References AA, AM-AS, AX and AY are discussed in the specification. A copy of these references is being submitted here with.
- 4) Reference \_\_\_\_\_ is additional prior art known to Applicant. A copy of these references is being submitted herewith.